Operating Systems & Concurrency:  
Process Scheduling and Communication  

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Scheduling

The mix of running processes is managed by a scheduler process which gives the “running” processes turn-about on the CPU.

- This may be a straight “round-robin”; or
- it may be that processes are assigned priorities:
  - A higher priority process is given the CPU ahead of a lower-priority process.

The scheduler manages a set of processes/tasks that are ready to run. One of them is currently running. In a context-switch performed by the scheduler the running task changes places with one of the ready tasks.

This happens frequently (commonly 20-50 times per second) so that the processes/tasks appear to be running simultaneously.
Scheduling

In reality a process passes between a number of states, doing many context switches before finishing.

When the process requires an operating system resource (graphics, disk or network I/O, or a communication from another process) in order to complete an operation it may have to wait.

The scheduler moves it from the running state to the waiting state. Eventually a waiting task “wakes up” – when it has the resource, communication or whatever it was waiting for – and return to the ready state: it is eligible again for context switch into running state.
Scheduling - process context

Each process has its own context:

- program counter;
- program status register;
- other hardware registers

Recall the fetch-execute cycle -

1. using address in program counter, fetch next instruction from memory;
2. increment program counter by size of instruction;
3. decode the instruction
4. execute the instruction
5. check program status register and possibly reload program counter
6. check for an interrupt
Scheduling – interrupts

The CPU can be *interrupted* by an event in its environment – a signal from a peripheral. It handles the interrupt by

1. saving the process context;
2. looking up the address of the interrupt’s *handler* routine in the *interrupt vector table*;
3. running the handler
4. restoring the process context - this resumes the process

In a multitasking environment there is a *timer* which periodically fires an interrupt. The handler determines using a *scheduling algorithm* whether the currently running task has had a long enough turn on the CPU and if so, effect a context-switch: restore the context of *another* ready task. The former running task goes to the back of the ready queue: the dotted arrow in figure 1) is thus effected two transitions via the *interrupted* state.

In general the processes/tasks in the ready and waiting states are in *prioritized queues*. 
Scheduling - flow of states

**Figure:** “Life cycle” flow
Scheduling activities

Long-term scheduling

- repeat period = seconds or minutes
- admits new processes to ready queue

Short-term scheduling

- repeat period = milliseconds
- triggers a context switch

I/O can take a long time – longer than the short-term scheduling interval. In this case the process is said to be I/O-bound.

At the opposite extreme is a process which spends most of its time performing computations - a CPU-bound process.
Scheduling Algorithms

How does the scheduler decide which process to run? Aim to -

- be fair – give all processes a fair amount of CPU time
- minimize response time
- minimize turn around time
- maximize CPU utilization
- meet user deadlines
- maximize system utilization

These can’t ALL be satisfied!
Types of Scheduling Algorithms

A *non-preemptive* scheduler allows the *running* task to continue running until it gives up the CPU: changes state because it is *waiting* for a resource or message. Examples -

- first-come-first-served
- shortest-job-first

With a *preemptive* scheduler, the tasks/processes all have priorities assigned and the *running* task is switched out to *ready* as soon as a higher-priority task becomes *ready*. If its time-slice is exhausted before this happens another equal-priority task may be switch-in.

- Priorities are be assigned statically or dynamically
- “Round-robin” scheduling fits within this approach
Scheduling Examples

Scenario: 3 jobs:

1. loop (3ms CPU, 3 ms I/O time) 6 times
2. loop (1ms CPU, 5 ms I/O time) 6 times
3. loop (8ms CPU, 1 ms I/O time) 4 times

Figure: First-come first-served

(shaded = CPU time, unshaded = I/O pending)
Figure: Shortest job first

Figure: Pre-emption, I/O-bound jobs have priority
Figure: Pre-emption, CPU-bound jobs have priority
Scheduling - priorities

Priorities can be assigned to jobs *statically*

- In MicroC, a task is assigned a priority when it is created.

A option is to be able to change a task priority even when it is running.

Algorithms exist for assigning priorities for example -

- A *periodic* task has a “duty cycle”, a loop which repeats at a fixed interval. *Rate-monotonic scheduling* assigns priorities in *increasing* order of frequency – more frequently recurring tasks are given higher priority.

- A set of tasks have have *deadlines* defined. *Deadline-monotonic scheduling* assigns priorities in order of deadlines, the earlier the deadline, the higher the priority.

- A priority may be adjusted according to the “age”
Priority Inversion

An example of what can go wrong! Consider three tasks (low, medium, high priority) and a shared resource, a data file being written to.

0. Initially the low priority task, 3, is running by itself ...
1. After a while it obtains an exclusive lock on a data file ...
2. Then high-priority task 1 becomes ready and pre-empt task 3 ...
3. But then it wasn’t the file locked by 3, so must wait. 3 resumes ...
4. Task 2 (medium pri) pre-empts task 3 which still has file locked ...
5. Task 2 finishes, task 3 resumes. Task one still waiting for file.
6. Task 3 releases lock on file, so task 1 can obtain it and resume.
   Task 1, high priority has been kept waiting a long time by the lower priority tasks!
Communication between Tasks

Processes within a system may cooperate: communicate or synchronise, affect one another, share data. Reasons include

- Information sharing
- Computation speedup
- Modularity
- Convenience

Interprocess communication (IPC) may be by *shared memory* or by *message passing*.
Communication by Shared Memory

Shared memory may use a *bounded buffer*

- a shared variable, or
- a “circular” array
  - Indexes are incremented and wrap around when they reach the end

In case such as these *synchronisation* is required to prevent a writer process overwriting the buffer before a reader process has read data there, and to prevent a reader reading data already read, before it is refreshed.

- The producer-consumer problem – see prodconsUnsync.c in Source files set 1, downloadable from [here](#)
Communication by Message Passing

May be

- Synchronous (*blocking*) – sending (receiving) process *waits* until receiving (sending) process has “synchronised” or “rendezvoused”;
- Asynchronous (*non-blocking*) – eg
  - sending process puts message in a *message queue or mail box*
  - receiving process takes message from queue or mail box
  - sender may *wait* if queue/mail box full;
  - receiver may *wait* if queue/mail box empty.
- Unicast, multicast, broadcast
- unidirectional, bidirectional

You will apply a number of these techniques for synchronisation (including Dijkstra’s *semaphores* and *mutuxes*) and message passing in real-time embedded systems.